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Practitioner's Docket No. ARC 3036 R1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michel J.N. Cormier, Wendy A. Young, Kofi Nyam, and Peter E. Daddona

Application No.: 10/045,842

Group No.: 3763

Filed: 10/26/2001

Examiner: Unassigned

For: TRANSDERMAL DRUG DELIVERY DEVICES HAVING COATED MICROPROTRUSIONS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**AMENDMENT, REQUEST AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED
INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION
(37 C.F.R. § 1.48(a))**

1. This amendment and request is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on May 6, 2002.
2. Addition and/or Deletion of Inventor(s)

Add the following previously unnamed person(s) as inventor(s) of this application: Wei-Qi Lin
3. Attachments

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10**(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

Is deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

Is with sufficient postage as first class mail.

37 C.F.R. § 1.10*

Is as "Express Mail Post Office to Addressee"

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TRANSMISSION

Facsimile transmitted to the Patent and Trademark Office, (703) 872-9306.

Date: 9/14/04Susan Ahearn
Signature

Susan Ahearn

(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s)
in Declaration (37 C.F.R. § 1.48(a))—page 1 of 2

Attached is:

- (a) A statement from each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(2).
- (b) A declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).
- (c) Written consent of the assignee. 37 C.F.R. § 1.48(a)(4).

4. Fee Payment (37 C.F.R. § 1.17(i))

The fee required by 37 C.F.R. § 1.17(i) is paid as follows:

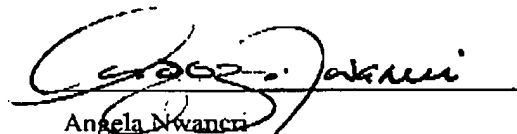
Authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 10-0750.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Date:

Sept. 14, 2004



Angela Nwancu
Registration No. 34,229
ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza Wh 3221
New Brunswick, NJ 08933
United States of America
650-564-2024
Customer No. 27777

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PTO/SB01 (10-00)

Approved for use through 10/31/2002 OMB 0651-0032

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (Surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	ARC 3036 R1	
	First Named Inventor	Michel J.N. Cormier	
	COMPLETE IF KNOWN		
	Application Number	10/045,842	
	Filing Date	October 26, 2001	
	Group Art Unit	3763	
		Examiner Name	Unassigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRANSDERMAL DRUG DELIVERY DEVICES HAVING COATED MICROPROTRUSIONS
(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) October 26, 2001 as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

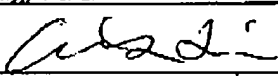
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

DECLARATION - Utility or Design Patent Application		
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.		
Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto
60/244,038	October 26, 2000	
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(e) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:		
Application Serial No.	Filing Date	Status
I hereby appoint:		
<input checked="" type="checkbox"/> Practitioners at Customer Number 000027777 --		Place Customer Number Bar Code Label Here
AND		
<input type="checkbox"/> Practitioner(s) named below:		
Name	Registration Number	
Angela Nwaneri	34,229	
David Abraham	39,554	
Philip Yip	37,265	
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.		
Address all telephone calls to Angela Nwaneri at telephone number (650) 564-2024.		
Customer Number		
Direct all correspondence to:	<input checked="" type="checkbox"/> or Bar Code Label 000027777	OR <input type="checkbox"/> Correspondence address below
Name:		
Address:		
Address:		
City:	State:	ZIP
Country	Telephone:	Fax:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Michel J.N.		Family Name or Surname Cormier	
Inventor's Signature		Date	
Residence: City Mountain View	State CA	Country USA	Citizenship USA
Mailing Address 278 Andsbury Avenue			
City Mountain View	State CA	ZIP 94043	Country USA
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Wendy A.		Family Name or Surname Young	
Inventor's Signature		Date	
Residence: City San Jose	State CA	Country USA	Citizenship USA
Mailing Address 1071 West Riverside Way			
City San Jose	State CA	ZIP 95129	Country USA
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Kofi		Family Name or Surname Nyam	
Inventor's Signature		Date	
Residence: City Palo Alto	State CA	Country USA	Citizenship USA
Mailing Address 24685 West Bayshore Road, #5			
City Palo Alto	State CA	ZIP 94303	Country USA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Peter E		Family Name or Surname Daddona	
Inventor's Signature		Date	
Residence: City Menlo Park	State CA	Country USA	Citizenship USA
Mailing Address 35 Anderson Way			
City Menlo Park	State CA	ZIP 94025	Country USA
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Wei Qi		Family Name or Surname Lin	
Inventor's Signature 		Date 8/26/04	
Residence: City Palo Alto	State CA	Country USA	Citizenship USA
Mailing Address 72 Peter Courts Circle			
City Palo Alto	State CA	ZIP 94305	Country USA
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SIXTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

MICHEL J.N. CORMIER, *et al.*

APPLICATION No.: 10/045,842

FILED: October 26, 2001

FOR: TRANSDERMAL DRUG DELIVERY DEVICES
HAVING COATED MICROPROTRUSIONS

EXAMINER: UNASSIGNED

ART UNIT: 3763

Declaration of Michel J.N. CormierCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Michel J.N. Cormier, state as follows:

I am an inventor in the above-captioned application. Pursuant to the Preliminary Amendment filed concurrently herewith, applicants are requesting that claims 38 to 46 be added to the specification. In view of WeiQi Lin's contributions to the claimed methods, she should be added as a co-inventor.

I thus declare that the error in inventorship occurred without deceptive intention.

Respectfully submitted

Date: August 30, 2004

Michel J.N. Cormier

Correspondence Address:ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2731 - phone
Customer No. 27777

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PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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EXAMINER: UNASSIGNED

ART UNIT: 3763

Declaration of Kofi NyamCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

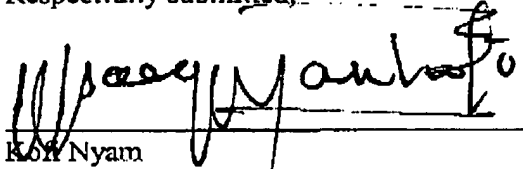
I, Kofi Nyam, state as follows:

I am an inventor in the above-captioned application. Pursuant to the Preliminary Amendment filed concurrently herewith, applicants are requesting that claims 38 to 46 be added to the specification. In view of WeiQi Lin's contributions to the claimed methods, she should be added as a co-inventor.

I thus declare that the error in inventorship occurred without deceptive intention.

Respectfully submitted,

Date: September 14, 2004


Kofi Nyam**Correspondence Address:**ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2729 - phone
Customer No. 27777

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EXAMINER: UNASSIGNED

ART UNIT: 3763

Declaration of Wendy YoungCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

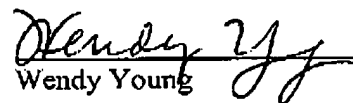
I, Wendy Young, state as follows:

I am an inventor in the above-captioned application. Pursuant to the Preliminary Amendment filed concurrently herewith, applicants are requesting that claims 38 to 46 be added to the specification. In view of WeiQi Lin's contributions to the claimed methods, she should be added as a co-inventor.

I thus declare that the error in inventorship occurred without deceptive intention.

Respectfully submitted,

Date: September 14, 2004


Wendy YoungCorrespondence Address:ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2901 - phone
Customer No. 27777

Attorney Docket No. ARC 3036 R1

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IN RE APPLICATION OF:

MICHEL J.N. CORMIER, *et al.*

APPLICATION No.: 10/045,842

FILED: October 26, 2001

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EXAMINER: UNASSIGNED

ART UNIT: 3763

Declaration of Peter Daddona

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Peter Daddona, state as follows:

I am an inventor in the above-captioned application. Pursuant to the Preliminary Amendment filed concurrently herewith, applicants are requesting that claims 38 to 46 be added to the specification. In view of WeiQi Lin's contributions to the claimed methods, she should be added as a co-inventor.

I thus declare that the error in inventorship occurred without deceptive intention.

Respectfully submitted,

Date: August 26, 2004


Peter Daddona

Correspondence Address:

ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2707 - phone
Customer No. 27777

Attorney Docket No. ARC 3036 R1

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EXAMINER: UNASSIGNED

ART UNIT: 3763

STATEMENT OF INVENTOR UNDER 37 C.F.R. § 1.48(a)Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Wei-Qi Lin, state as follows:

In view of the claimed subject matter set forth in the Preliminary Amendment, filed concurrently herewith, I should be deemed an inventor in the above-captioned application.

I hereby declare that the error in inventorship occurred without deceptive intention on my part.

Respectfully submitted,

Date: August 26, 2004



Wei-Qi Lin**Correspondence Address:**ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2721 - phone
Customer No. 27777

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Attorney Docket No. ARC 3036 R1

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PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****IN RE APPLICATION OF:**MICHEL J.N. CORMIER, *et al.*

APPLICATION No.: 10/045,842

FILED: October 26, 2001

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HAVING COATED MICROPROTRUSIONS

EXAMINER: UNASSIGNED

ART UNIT: 3763

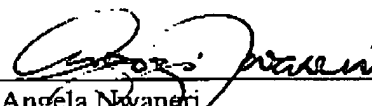
CONSENT TO ADD INVENTOR UNDER 37 C.F.R. § 1.48(a)Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ALZA Corporation, the Assignee of all right, title and interest in and to the above-identified patent application, hereby agrees to the addition of Wei-Qi Lin as an inventor.

Respectfully submitted,

Date: September 14, 2004


Angela Nwaneri
Vice President Patent Law
Registration No. 34,229**Correspondence Address:**ALZA Corporation
c/o Johnson & Johnson
One Johnson & Johnson Plaza, WH3221
New Brunswick, NJ 08933
(650) 564-2024 – phone
(650) 564-2195 – facsimile
Customer No. 27777